

Item 1: Cover Page

3(38) Investment Fiduciaries

Form ADV Part 2B Brochure Supplement for

Steven T. Wilkinson, QPFC, CRPS®

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This brochure supplement provides information about Steven T. Wilkinson that supplements the 3(38) Investment Fiduciaries' brochure. You should have received a copy of that brochure. Please contact Steven T. Wilkinson if you did not receive 3(38) Investment Fiduciaries' brochure or if you have any questions about the contents of this supplement.

Additional information about Steven T. Wilkinson is available on the SEC's website at www.adviserinfo.sec.gov and by searching for CRD# 5294043.

Item 2. Educational Background and Business Experience

Education

University of La Verne, Bachelor's Degree in Business Administration, 2013

Moorpark College, Student, 2009

Business Background

Initially working for Wells Fargo Bank in 2006, Steve successfully transitioned to Wells Fargo's Wealth Management Division in 2007 and has focused on corporate 401(k)s and nonprofit 403(b)s since 2007. He has managed his practice at firms such as Merrill Lynch (starting in 2008), 401(k) & 403(b) Advisors (starting in 2010), and founded Monarch Plan Advisors in 2013 and later 3(38) Investment Fiduciaries in 2018.

Professional Designations

CRPS®–Chartered Retirement Plan Specialist

The CRPS® program is specifically targeted to provide professionals the knowledge and tools to design, install, and maintain retirement plans for the business community. The CRPS® is issued by the College of Financial Planning. While there are no pre-requisites for the CRPS® the designee must complete an online, instructor led, or self-study courses complete with a final online, closed book, proctored exam. In addition, the designee must complete 16 hours of continuing education every two years.

QPFC–Qualified Plan Financial Consultant

QPFC is the professional credential for financial professionals who sell, advise, market or support qualified retirement plans issued through the American Society of Pension Professionals & Actuaries (ASPPA) . The QPFC designation shows a deep understanding of general retirement planning concepts, terminology, distinctive features of qualified plans and the role of retirement plan professionals. To obtain the OPFC designation the following ASPPA exams must be completed: Retirement Plan Fundamentals 1 & 2 (RPF 1&2). Plan Financial Consulting 1 & 2 (PFC 1&2). In addition, the designee is required to complete 40 hours every two years.

Year of birth: 1986

Item 3. Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that are material to your evaluation of each supervised person providing investment advice. There is no disciplinary information to report for Steve Wilkinson.

Item 4. Other Business Activities

Steve Wilkinson's primary business activity is that of owner, President and investment advisory representative of 3(38) Investment Fiduciaries, as well as its affiliated but independently registered investment adviser, Monarch Plan Advisors. Steve is also licensed to sell various insurance products for which he may receive product commissions.

Item 5. Additional Compensation

As discussed in Item 4 above, Steve is a licensed as insurance agent and may receive normal and customary insurance product commissions from the sale of insurance products. While Steve always endeavors to put clients' interests first and foremost, clients should be aware that the receipt of additional compensation itself creates a conflict of interest. To address the potential for a conflict of interest, disclosure is given to clients of any fees or compensation associated with recommended insurance products. Clients are provided with applicable offering materials, which discuss the fees associated with the applicable products. Clients are also asked to sign applicable disclosure documents and/or account applications that point out important product features and fees. As a fiduciary, Steve makes recommendations that he feels are in the client's best interest and are based on the specific needs and objectives of the client, not the compensation potential of the product.

Item 6. Supervision

3(38) Investment Fiduciaries has appointed a Chief Compliance Officer who is responsible for the overall supervision of the firm. The Chief Compliance Officer is Steve Wilkinson, who also serves as the firm's President. Steve implements a specific set of Written Supervisory Procedures that he has developed for the supervision of the firm. He maintains required books and records to monitor the investment advice and

recommendations made on behalf of the firm. 3(38) Investment Fiduciaries has a specific Code of Ethics that applies to all covered employees. Steve implements procedures to ensure employees comply with the firm's Code of Ethics, and he monitors all reports provided pursuant to the Code. Steve is located in 3(38) Investment Fiduciaries' main office in Simi Valley, CA, and he can be reached at 818-296-9529.